



LIVE VIRTUAL EVENT

*25<sup>th</sup> Annual*  
**Compliance Institute**  
April 19–22, 2021

This year HCCA is excited to celebrate over  
two decades of compliance excellence with our  
25<sup>th</sup> Annual Virtual Compliance Institute

**Register by March 9 and save!**  
[hcca-info.org/2021CI](https://hcca-info.org/2021CI)



**HCCA<sup>®</sup>**  
Health Care Compliance  
Association

# Choosing your sessions

## Subject Areas

Sessions are categorized by topic to help you navigate your educational needs and interests.

### Auditing & Monitoring

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

### Behavioral Health

How do you balance behavior health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

### Case Studies

Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

### Compliance Law

Learn the legal basis for the healthcare compliance issues you manage, such as False Claims Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

### General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

### How to Succeed as a Compliance Professional

Our leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

### Internal Audit

Designed to increase awareness of audit opportunities in the healthcare compliance arena and provide tools and techniques to jump-start your auditing and monitoring efforts.

### Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

### Physician Compliance

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

### Post-Acute Care

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

### Privacy & Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

### Risk Management

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

### Telehealth

Telehealth is changing the accessibility and availability of patient care. Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

## Bonus Sessions

Expand your education and earn even more CEUs by attending the Bonus Sessions. Stay late on Tuesday to attend a Sunset session, or tune in early on Wednesday for a Sunrise session.

### Sunset Sessions

Tuesday | 4:45–5:45 PM CDT

### Sunrise Sessions

Wednesday | 8:15–9:15 AM CDT

## Knowledge Levels

All breakout sessions have a knowledge level associated with them. These levels are just guidelines, and you are free to attend sessions of any level.

**Basic:** Program knowledge level most beneficial to compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic program. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.

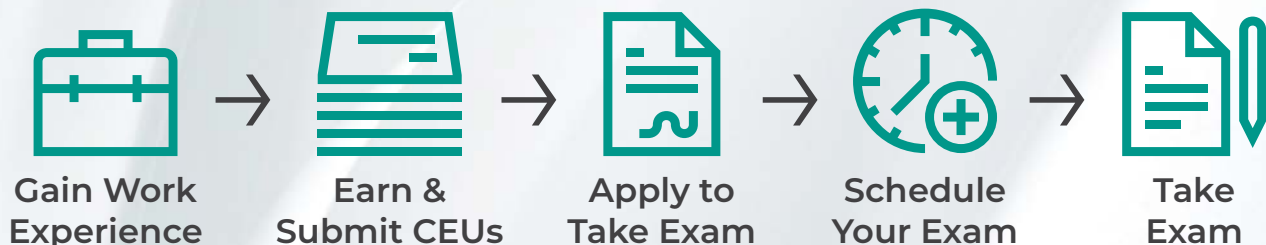
# BECOME CERTIFIED

Demonstrate your knowledge, gain credibility, and enhance your career with a Compliance Certification Board (CCB)<sup>®</sup> certification.



While there is no substitute for experience, this course gives you the continuing education units (CEUs) you need as well as covers healthcare compliance essentials that can help you prepare for your certification exam.

**Follow these 5 steps to certification:**



Learn more

[hcca-info.org/certification](https://hcca-info.org/certification)



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

## Monday, April 19

9:30 – 9:40 AM CDT	Welcome and Opening Remarks
9:40 – 10:10 AM CDT	GENERAL SESSION 1: OIG Update
10:10 – 10:40 AM CDT	GENERAL COMPLIANCE SESSION 2: TBA
10:40 – 11:00 AM CDT	Coffee Break
11:00 AM – 12:00 PM CDT BREAKOUT SESSIONS	<span style="color: #FFD700;">■</span> M1A OCR HIPAA UPDATE
	<span style="color: #008000;">■</span> M1B Essentials in Physician Practice Compliance
	<span style="color: #0000FF;">■</span> M1C False Claims Act Part 1
	<span style="color: #808080;">■</span> M1D Navigating Enforcement Actions, Investigations, and Settlements
	<span style="color: #800080;">■</span> M1E 340B: An Introduction
	<span style="color: #FFA500;">■</span> M1F Compliance and Ethics Programs during and Post-Pandemic
	<span style="color: #D2B48C;">■</span> M1G Coronavirus 19: Where was ERM?
	<span style="color: #DC143C;">■</span> M1H New to Compliance? Learn the Essential Components to Address in Your Program
	<span style="color: #DC143C;">■</span> M1I Compliance Session TBA
	<span style="color: #800000;">■</span> M1J Internal Investigations: What's In Your Organization's Toolkit?
12:00 – 12:20 PM CDT	Coffee Break
12:20 – 1:20 PM CDT BREAKOUT SESSIONS	<span style="color: #FFD700;">■</span> M2A Building a Cybersecurity Auditing and Monitoring Plan
	<span style="color: #008000;">■</span> M2B Get Your Docs in a Row with a Physician Contracting Compliance Policy
	<span style="color: #0000FF;">■</span> M2C False Claims Act: Part 2
	<span style="color: #808080;">■</span> M2D Retooling Your Compliance Program: Operationalizing the New Expectations
	<span style="color: #800080;">■</span> M2E Addressing the Operational Challenges of Auditing Investigator Initiated Trials (IITs)
	<span style="color: #DC143C;">■</span> M2F A Federal Regulatory Visit is on the Horizon: Are You Prepared for a Survey?
	<span style="color: #D2B48C;">■</span> M2G CARES Act: Risks and Mitigating Responses
	<span style="color: #DC143C;">■</span> M2H Diversity & Inclusion
	<span style="color: #DC143C;">■</span> M2I Avoiding Unnecessary Refunds
	<span style="color: #808080;">■</span> M2J Utilizing 4 Leafed Clover of Compliance: Privacy & Security
1:20 – 2:20 PM CDT	Mid-Conference Break
2:20 – 3:20 PM CDT BREAKOUT SESSIONS	<span style="color: #FFD700;">■</span> M3A How to Assess and Address the Unique Cybersecurity and Data Risks with Clinical Trials
	<span style="color: #008000;">■</span> M3B What is the Maximum Permissible Dose of Morphine or Fentanyl? A Question of Physician Compliance and Quality of Care
	<span style="color: #0000FF;">■</span> M3C What Compliance Professionals Should Know About Peer Review Protections
	<span style="color: #808080;">■</span> M3D Investing in Yourself: Strategies for Individual Development as a Compliance Professional
	<span style="color: #800080;">■</span> M3E Electronic Management of Medicare Short-Stay Admissions to Ensure Compliance with the Two-Midnight Rule
	<span style="color: #DC143C;">■</span> M3F Addressing High-Risk Compliance Areas and Avoiding Common Pitfalls in Risk Assessment, Monitoring & Auditing
	<span style="color: #D2B48C;">■</span> M3G My Brother's Keeper: Mitigating Risk Through Business Partner Compliance Program Toolkits
	<span style="color: #DC143C;">■</span> M3H Board Oversight of Compliance Programs: What is Required and How to Help Your Board Succeed
	<span style="color: #DC143C;">■</span> M3I Building Best-In-Class Compliance Programs for Small Organizations
	<span style="color: #DDA0DD;">■</span> M3J Telehealth & Cutting Edge Ventures: The Partnership between Strategic Planning & Privacy
3:20 – 3:40 PM CDT	Coffee Break
3:40 – 4:40 PM CDT BREAKOUT SESSIONS	<span style="color: #FFD700;">■</span> M4A Notice of Breach of Unsecured Protected Health Information from a Business Associate
	<span style="color: #008000;">■</span> M4B Who Should Own Making Sure Physician Arrangements are Compliant?
	<span style="color: #0000FF;">■</span> M4C Legal and Ethical Considerations When Conducting an Internal Investigation
	<span style="color: #808080;">■</span> M4D Selling Effectiveness: Getting the Board On Board with Building an Effective Compliance Program
	<span style="color: #800080;">■</span> M4E How Am I Doing? (ACOs and CINs): In the shift to value-based care, there has been a proliferation of ACOs and CINs - Are these organizations being audited and monitored for important antitrust compliance concerns and contracting regulatory issues?
	<span style="color: #FFA500;">■</span> M4F How to Virtually Evaluate the Effectiveness of Your Skilled Nursing Facility Compliance & Ethics Program
	<span style="color: #D2B48C;">■</span> M4G Emerging Risks of a Remote Workforce
	<span style="color: #DC143C;">■</span> M4H Building a New Research Compliance Program: Where Do I Begin?
	<span style="color: #DC143C;">■</span> M4I Hot Compliance and Enforcement Topics Regarding COVID-19
	<span style="color: #8FBC8F;">■</span> M4J Community Mental Health Centers: An Insider's View for an Effective Compliance Program
4:40 – 4:50 PM CDT	Break
4:50 – 5:50 PM CDT	Opening Conference Social Event

### SUBJECT AREA KEY

■ Auditing & Monitoring  
 ■ Behavioral Health  
 ■ Case Studies  
 ■ Compliance Law  
 ■ General Compliance/Hot Topics  
 ■ How to Succeed as a Compliance Professional  
 ■ Internal Audit  
 ■ Investigations  
 ■ Physician Compliance  
 ■ Post-Acute Care  
 ■ Privacy & Security  
 ■ Risk Management  
 ■ Telehealth



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

## Tuesday, April 20

9:30 – 10:30 AM CDT BREAKOUT SESSIONS	T1A Virtual Privacy Investigations During COVID-19
	T1B Designing and Implementing an Audit Program for Physician Services
	T1C Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel During the Age of COVID: The Deal is Done, Now What?
	T1D Work Smarter Not Harder: Effective Annual Work & Audit Plan Development Strategies
	T1E How to Successfully Navigate a CMS Risk Adjustment Data Validation Audit
	T1F Skilled Nursing Abuse and Neglect: CMS Developments and Compliance Strategies
	T1G The Opioid Crisis a.k.a. Prescription Drug Abuse Epidemic: From the Provider's Perspective
	T1H Art of War: Using Time-Tested Strategies and Principles in Advancing Your Compliance Program
	T1I Conducting Internal Investigations in 2021 and Beyond
	T1J Top IT and Cyber-Risks to Include in Your Audit Plan: 2021 Update
10:30 – 10:50 AM CDT	Coffee Break
10:50 – 11:50 AM CDT BREAKOUT SESSIONS	T2A You Are Only as Secure as Your Riskiest Vendor
	T2B Physician Coding During COVID-19
	T2C The IRS 990 Information Return: Compliance Report, Disclosure Tool, and Data Mining Source
	T2D The Federal Register, Survey & Certification Letters, and the Conditions of Participation: The Trifecta of a Compliance Professional's Potential to Stay Informed
	T2E The Anatomy and Physiology of a Managed Care Organization Compliance Audit of a Hospital
	T2F Clinical-Community Partnerships to Address Health-Related Social Needs: Championing Initiatives from the Compliance Office
	T2G Somebody Call the Risk Manager: How to Turn Every Unexpected Event into an Opportunity to Shine
	T2H Chasing the Goal: How Do You Know if Your Compliance Program is Truly Effective?
	T2I The Conflict of Interest Gamble: How Compliance and Internal Audit Used Open Payments Data to Make COI Evaluation a Sure Bet
	T2J Physician Coding and Billing Cases Gone Badly
11:50 AM – 12:10 PM CDT	Coffee Break
12:10 – 12:15 PM CDT	Opening Remarks
12:15 – 1:15 PM CDT	GENERAL COMPLIANCE SESSION 3: TBA
1:15 – 2:15 PM CDT	Mid-Conference Break
2:15 – 3:15 PM CDT BREAKOUT SESSIONS	T3A The NIST Privacy Framework: Measurable Objectives for Managing Privacy
	T3B Compliance Session TBA
	T3C Ask the Stark Law Professionals
	T3D Living In Paradise: Thriving and Succeeding in a Remote Work Environment
	T3E Who's Who in Your Hospital or Practice? Third Party Risk Management
	T3F Compliance in the Era of Millennials
	T3G ERM/New COSO Framework for Compliance
	T3H COI ALERT: Sunshine Expansion and International Influence
	T3I To the Pandemic and Beyond! Achieving and Sustaining Compliance for Your Telehealth Program
	T3J Compliance Session TBA
3:15 – 3:35 PM CDT	Coffee Break
3:35 – 4:35 PM CDT BREAKOUT SESSIONS	T4A An Advanced Look at Basics: Business Relationships under HIPAA and Why It's So Important to Get Them Right!
	T4B Medicare Wellness Visits, Patient Visits, and Additional Services All on the Same Date of Service: A Compliant Revenue Opportunity
	T4C Compliance Session TBA
	T4D 8th Commandment: Implementing Effective Disciplinary Framework
	T4E Organizational Solutions for Implementing Effective Corrective Action and Prevention Plans (CAPA) Following Internal Quality Assurance Compliance Reviews
	T4F Best Practices for Compliance Investigations by Post-Acute Providers
	T4G Physician Arrangements: Strategies to Reduce Compliance Risk and Manage the Self-Disclosure Process
	T4H When Two Worlds Collide: Practical Compliance Strategies for Managing an Opioid Epidemic during a Global Pandemic
	T4I Compliance Session TBA
	T4J From Bumpy Road to Fast Lane in Telehealth: How a Pandemic Forced One Organization over the Hump
4:35 PM CDT	Regular Conference Ends
4:45 – 5:45 PM CDT OPTIONAL BONUS SUNSET SESSIONS	TB1 Interoperability: Industry Perspectives
	TB2 Core Elements of EMTALA for the Compliance Professional
	TB3 Compliance in the COVID-19 Era: What Just Happened and Where Do We Go From Here?
	TB4 Compliance Session TBA

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ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

Wednesday, April 21

8:15 – 9:15 AM CDT OPTIONAL BONUS SUNRISE SESSIONS	WB1 Effective Compliance through Organizational Design
	WB2 Two-Headed Beast: Compliance and Research Billing
	WB3 COVID-19 Oversight: An Integral Part of an Effective Corporate Compliance Program
	WB4 Controlled Substance Diversion Prevention Programs: What Should You Do?
9:30 – 10:30 AM CDT BREAKOUT SESSIONS	W1A Business Continuity and Disaster Recovery: A Critical Component of Compliance and Risk Management
	W1B The Evolving Landscape of Clinical Documentation: Exploring the 2021 E/M Changes
	W1C Electronic Health Records: Enforcement Trends and Compliance Issues
	W1D Compliance Datamining and Benchmarking: How Data-Driven Decision Making Can Boost Your Compliance Program Success!
	W1E SNF Compliance on a Quarter: Leveraging Your Resources to Implement an Effective Audit Plan
	W1F Impacts of COVID-19 Compliance Protocols to Post-Acute Care Facilities and Home Based Care
	W1G Internal Audit's Role in Enhancing Your Risk Program
	W1H New Year, New Administration, New Priorities!
	W1I Investigations: Living in the House that Jack Built
	W1J COVID-19 Pandemic Lessons Learned Case Studies: CMS Emergency Preparedness, Compliance, Vaccines, and Recovery
10:30 – 10:50 AM CDT	Coffee Break
10:50 – 11:50 AM CDT BREAKOUT SESSIONS	W2A Cybersecurity & Incident Response: The Nuts & Bolts of Detecting, Avoiding, and/or Responding to a Security Incident
	W2B The Physicians in My Practice Want to Get Involved in Clinical Research: What Do I Need to Know and Do?
	W2C Compliance Session TBA
	W2D How to Revitalize the Culture of Compliance with Innovative Education
	W2E Developing Metrics that Matter: Building a Meaningful Compliance Scorecard for Hospitals and Health Systems
	W2F Is Your Hotline "Hot?": Approaches to Improving and Promoting Your Compliance Hotline
	W2G Role of Compliance in the IoT World
	W2H Rethinking Compliance Program Effectiveness Reviews
	W2I Reimagining Internal Audit and How to Deliver Enhanced Organizational Value with Analytics, Automation, and Visualization Solutions
	W2J Sampling and Extrapolations: How to Address Problematic Populations
11:50 AM – 12:10 PM CDT	Coffee Break
12:10 – 12:15 PM CDT	Opening Remarks
12:15 – 1:15 PM CDT	GENERAL COMPLIANCE SESSION 4: TBA
1:15 – 2:15 PM CDT	Mid-Conference Break: Visit with Sponsors
2:15 – 3:15 PM CDT BREAKOUT SESSIONS	W3A From Silos to Synergy: Collaborating Across Departments to Provide Enterprise-Wide System Protections and Ensure Patient Privacy and Security
	W3B Non-physician Provider Billing Compliance: Are Incident to and Split/Shared Regulations Giving You a Splitting Headache?
	W3C Whistleblowers: Who Are They and Why Do They Become Whistleblowers and How Do You Evaluate a Whistleblower Case?
	W3D Engaging Clinicians in the Compliance Effort
	W3E Effective Auditing of Your Clinical Practice with Less Resources
	W3F Integrity Works
	W3G GRC Triangle: Governance, Risk, and Compliance
	W3H OIG Developments
	W3I How to Effectively and Legally Implement Telehealth in Your Organization
	W3J Effectiveness Reboot: Rebuilding an Existing Program from Scratch
3:15 – 3:35 PM CDT	Coffee Break
3:35 – 4:35 PM CDT BREAKOUT SESSIONS	W4A Reconciling Part 2 and HIPAA: How To Protect Your SUD Patients' Records
	W4B Provider Compensation—A Valuator's Perspective: Where Do Your Risks Map?
	W4C Emerging Trends in Physician Employment/Independent Contractor Contracting and Compensation Arrangements with Hospitals
	W4D Compliance and Legal: From Opposition to Partnership
	W4E What is a Compliance Risk Assessment?
	W4F Simple Solutions for Optimizing SNF Therapy and Nursing Revenue Cycle Compliance
	W4G Compliance Alignment and Joint Ventures
	W4H Medical Marijuana: Practical Considerations for Health Care Providers
	W4I Compliance Session TBA
	W4J Nothing is Certain but Change... Dealing with the Changing Compliance and Reimbursement Requirements in Telehealth
4:35 – 4:45 PM CDT	Break
4:45 – 5:45 PM CDT	Conference Social Event









































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# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

Thursday, April 22

	 H1A The Privacy & Marketing Dream Team: Developing and Maintaining a Professional Relationship with Your Marketing Department and Why It Matters
	 H1B Compliance and Liability Considerations for Physicians and Organizations Employing Physician Assistants and Nurse Practitioners: A Review of Case Law and Complaints
	 H1C Exclusions
9:30 – 10:30 AM CDT	 H1D How to Become a Data-Driven Compliance Professional
BREAKOUT SESSIONS	 H1E Compliance Session TBA
	 H1F PDPM Year One: Insights from the Auditors
	 H1G Conducting a Rehab-Focused Risk Assessment: Identifying & Mitigating Your Risks
	 H1H Pandemics, Politics, and Value-Based Models, Oh My!
	 H1I The Roles of the Compliance Officer and the General Counsel
	 H1J Health Compliance's Role in Multi-Unit, Cutting Edge AMC Investigations
10:30 – 10:50 AM CDT	Break
	 H2A The Privacy Considerations of Interoperability
	 H2B Got Comparative Data? CBRs for Physicians/Other Outpatient Providers
	 H2C Anti-Kickback and Stark Law Developments: Where Are We Now and Where Are We Going?
10:50 – 11:50 AM	 H2D Congratulations New Compliance Officer and Risk Manager and Infection Control and Accreditation, and those Other Duties
BREAKOUT SESSIONS	 H2E Self-Audits: Are You Looking at the Right Data?
	 H2F Laboratory Risk Assessment: The Top Risks and What to Audit
	 H2G Cost Effective and Practical Solutions to Compliance Risk Management
	 H2H Read (Hidden) Emotions: Know What Others are Thinking and Feeling
	 H2I 340B Drug Pricing Program: Recent Updates and Compliance Tips
	 H2J Oh No! What? Another Risk Assessment? A Fraud Risk Assessment
11:50 AM – 12:10 PM CDT	Coffee Break
	 H3A Privacy Officer Roundtable: Part 1
	 H3B Physician Arrangements Compliance Assessment: Assessing and Mitigating Physician Arrangements Risk
	 H3C Compliance Session TBA
12:10 – 1:10 PM CDT	 H3D Ways Leaders Can Engage in Compliance Leadership
BREAKOUT SESSIONS	 H3E Achieving Revenue Cycle Success Through Compliance
	 H3F Executive Compensation in Nonprofit Healthcare Compliance Issues and Strategies
	 H3G Weathering the Compliance Storm Forecast for the Future!
	 H3H Understanding and Meeting Language Access Requirements for Services to LEP Persons
	 H3I Corporate Integrity Agreements: A Closer Look at How OIG and Providers Work with Independent Review Organizations
	 H3J Telehealth: Where Do We Go from Here? Insights from a Panel of Strategy, Operations and Compliance Leaders
1:10 – 2:10 PM CDT	Mid-Conference Break
	 H4A Privacy Officer Roundtable: Part 2
	 H4B Three Ways to Enhance Your Physician Compensation Review Process
	 H4C Lab Testing During the COVID Pandemic
2:10 – 3:10 PM CDT	 H4D From Compliance Support to Compliance Officer: Making it to the Top of the Compliance Profession
BREAKOUT SESSIONS	 H4E OIG Mandated Six-Year Look Back Audits
	 H4F COPS & OPS: Leveraging a Matrix Leadership Mindset to Achieve Compliant Operations in Hospice and Home Health
	 H4G Compliance Session TBA
	 H4H The Evolution of FDR and Delegation Oversight: What are Health Plans Expecting of Their Providers?
	 H4I Evaluating and Executing Physician Practice Acquisitions in Today's Market
	 H4J Compliance Session TBA
3:10 – 3:30 PM CDT	Coffee Break
3:30 – 3:35 PM CDT	Opening Remarks
3:35 – 4:35 PM CDT	GENERAL COMPLIANCE SESSION 5: TBA
4:35 PM CDT	Closing Remarks

## SUBJECT AREA KEY

 Auditing & Monitoring 
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  How to Succeed as a Compliance Professional 
  Internal Audit 
  Investigations 
  Physician Compliance 
  Post-Acute Care 
  Privacy & Security 
  Risk Management 
  Telehealth

## MONDAY, APRIL 19

9:30 – 9:40 AM CDT

### Welcome and Opening Remarks

9:40 – 10:10 AM CDT

### GENERAL SESSION 1: OIG Update

CHRISTI GRIMM, Principal Deputy  
Inspector General, OIG, Dept of Health &  
Human Services

10:10 – 10:40 AM CDT

### GENERAL COMPLIANCE SESSION 2: TBA

10:40 – 11:00 AM CDT

### Coffee Break

11:00 AM – 12:00 PM CDT

### BREAKOUT SESSIONS

#### M1A OCR HIPAA UPDATE

*Subject Area: Privacy and Security*  
*Level:*

TIMOTHY NOONAN, Deputy Director, Health  
Information Privacy Division, U.S. Department  
of Health and Human Services, Office for  
Civil Rights

#### M1B Essentials in Physician Practice Compliance

*Subject Area: Physician Compliance*  
*Level: Basic*

MELANIE SPONHOLZ, Chief Compliance  
Officer, Waud Capital Partners

LYNN M. MYERS, Chief Medical Officer, TX  
Health Organization for Physicians

LESLIE BOLES, Director of Compliance Audit,  
WCP Healthcare, Waud Capital Partners

- Anticipating and preparing for common physician practice compliance pitfalls
- Creating buy-in from frontline providers and identifying compliance champions
- Building effective and practical compliance workflows

#### M1C False Claims Act Part 1

*Subject Area: Compliance Law*

#### M1D Navigating Enforcement Actions, Investigations, and Settlements

*Subject Area: How to Succeed as a  
Compliance Professional*

*Level: Intermediate*

ANGELA M. ALTON, Vice President, Privacy  
Officer, Ann & Robert Lurie Children's Hospital  
of Chicago

ANNE DALY, Chief Compliance, Ann & Robert  
H. Lurie Children's Hospital of Chicago

ANA-CRISTINA NAVARRO, Chief Compliance  
Officer, Shasta Community Health Center

- Understand how adverse events can lead to government surveys to formal enforcement actions
- Understand how a compliance team can lead an organization through an enforcement action
- Share lessons learned, tools, and methods for addressing enforcement actions and become proactive

#### M1E 340B: An Introduction

*Subject Area: Auditing and Monitoring*  
*Level: Basic*

AARON GILBREATH

- Pharmacy purchasing with 340B compliance
- 340B is a complex government program that is complex and always changing
- Pharmaceutical drug purchasing is complex in itself; add 340B to the mix and the real fun begins!

#### M1F Compliance and Ethics Programs during and Post-Pandemic

*Subject Area: Post-Acute Care*

*Level: Intermediate*

JANINE M. VALDEZ, Vice President of  
Compliance, Genesis Health Care

- Discuss the changes to the compliance and ethics program comparing pre- and post-pandemic
- Discuss usage of photography, video-conferencing, telemedicine, and social media
- Discuss employee engagement in compliance, new training approaches, and culture initiatives

#### M1G Coronavirus 19: Where was ERM?

*Subject Area: Risk Management*

*Level: Intermediate*

DARRELL SANDEEN, Healthcare Lead, Twin  
Cities Region, Protiviti

REBECCA NILSON, Director, Protiviti

- Assessing if enterprise risk management did or could have identified Coronavirus 19 as a risk
- Gaining an understanding of the ERM framework and how to uncover its value
- Implementing an effective ERM program going forward

#### M1H New to Compliance? Learn the Essential Components to Address in Your Program

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

ALLY MOLLETTI, Sr. Compliance Officer,  
Summa Health

ANDREA MERRITT, Partner,  
Athena Compliance Partners

- Discuss the elements of a compliance program and learn how to implement based on best practices
- Review real-world examples of the elements and how to develop and implement each one
- Discuss methods to grow your program over time using effective, proven methods

#### M1I Compliance Session TBA

#### M1J Internal Investigations: What's In Your Organization's Toolkit?

*Subject Area: Investigations*

*Level: Basic*

JACKI WALTMAN, Mgr Health Information/  
Corporate Privacy Officer, Hazelden Betty  
Ford Foundation

JACKIE STEMWEDEL, Director, Standards &  
Compliance, Hazelden Betty Ford Foundation

MELISSA EDSON, Standards & Compliance  
Specialist, Hazelden Betty Ford Foundation

- Adapting your toolkit to include remote investigations
- Developing and triaging the internal ethics hotline and direct calls to HR, legal, privacy, and com
- Developing and training your internal investigation team toolkit



12:00–12:20 PM CDT

Coffee Break

12:20–1:20 PM CDT

BREAKOUT SESSIONS

**M2A Building a Cybersecurity Auditing and Monitoring Plan**

*Subject Area: Privacy and Security*

*Level: Intermediate*

MICHAEL GRAY, Eliza Jennings

JENNIFER M. GRIVEAS, Chief Human Resources Officer & General Counsel, Eliza Jennings Senior Care Network

- Understand the biggest security threats and highlight the most vulnerable systems and users
- Focus on developing an auditing and monitoring plan for meeting mandates of the HIPAA Security Rule
- Learn to take results of security auditing and monitoring activity to build a strong work plan

**M2B Get Your Docs in a Row with a Physician Contracting Compliance Policy**

*Subject Area: Physician Compliance*

*Level: Basic*

ALLISON PULLINS, VP, Chief Strategy and Operating Officer, MD Ranger Inc

JOSHUA BURRILL, Compliance Officer, Alabama College of Osteopathic Medicine

ROBERT ABRAHAM, Contract Administrator, Valley Presbyterian Hospital

- Every hospital, large and small, has non-employed physician agreements. These arrangements contain numerous subtle compliance risks that may not be obvious; furthermore, the risks of violating Stark Law are too great to ignore. Clear and effective compliance
- While having a policy that works for your organization is important, you don't need to reinvent the wheel; we will take you through a straightforward approach to crafting guidelines for physician contract compliance
- Learn how to thoughtfully design simple and straightforward compliance policies, evaluate commercial reasonableness, determine FMV without overusing consultants, mitigate the risks from overpayments, engage key stakeholders, and translate best practice into

**M2C False Claims Act: Part 2**

*Subject Area: Compliance Law*

*Level:*

**M2D Retooling Your Compliance Program: Operationalizing the New Expectations**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Advanced*

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

- Getting down to basics: Focusing on a culture of compliance
- Building sustainable structures: Measuring and managing risk
- Making it work: Operationalizing your compliance program

**M2E Addressing the Operational Challenges of Auditing Investigator Initiated Trials (IITs)**

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

GABRIELLA M. NEFF, Research Compliance Officer, Moffitt Cancer Center

WENDY S. PORTIER, Independent Consultant

- Identify the unique regulatory and operational challenges of IITs and associated risks
- Learn how to gain leadership/investigator buy-in and discuss strategies for IIT audits
- Share lessons learned from conducting IIT audits at a designated cancer center

**M2F A Federal Regulatory Visit Is on the Horizon: Are You Prepared for a Survey?**

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

JANE Y. VAN NESS, Senior Legal Counsel, NW Permanente

- Overview of Emergency Medical Treatment and Active Labor Act, including COVID-19 EMTALA implications
- Learn how to prepare for a CMS visit, what to expect, and how to address findings/avoid penalties
- Understand how to develop and implement a rigorous monitoring plan to sustain compliance

**M2G CARES Act: Risks and Mitigating Responses**

*Subject Area: Risk Management*

*Level: Intermediate*

SHAWN STEVISON, Director of Internal Audit, Signature HealthCARE, LLC

TRACY YOUNG, Partner, BKD

- Understand the reporting requirements associated with the CARES Act funding, including quarterly and annual, state-specific, and single audit reporting requirements
- Understand allowability of expenses and key calculation methodologies for both expenditures and lost revenues, including unallowable expenses which may be non-compliant with the regulatory terms and conditions
- Discuss the impact of non-compliance with the various requirements

**M2H Diversity & Inclusion**

*Subject Area: General Compliance/Hot Topics*

**M2I Avoiding Unnecessary Refunds**

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

DAVID M. GLASER, Shareholder, Fredrikson & Byron PA

- Understand when a refund is truly required and why many lawyers mistakenly recommend them
- Understand why manuals/guidance including LCDs are not binding
- Recognize how the rules for private payors differ from Medicare and Medicaid

**M2J Utilizing 4 Leafed Clover of Compliance: Privacy & Security**

*Subject Area: Internal Audit*

*Level: Intermediate*

DEBRA A. MUSCIO, SVP, Chief Audit, ERM, Privacy, Security, Ethics & Compliance Ofcr, Community Medical Centers

- The four-leafed clover of compliance—4 P's: Prods, probes, projects, programs
- The four-leafed clover of privacy—Contracts: BAA, DUA, monitoring
- The four-leafed clover of security—Contracts: Risk assessments, trending, tools

1:20–2:20 PM CDT

Mid-Conference Break

2:20 – 3:20 PM CDT

## BREAKOUT SESSIONS

### M3A How to Assess and Address the Unique Cybersecurity and Data Risks with Clinical Trials

*Subject Area: Privacy and Security*  
*Level: Intermediate*

CYNTHIA DUNN, Clinical Research Consultant, Crescent City Research Consulting, LLC

TRACY L. POPP, Sr Director, Clinical Research, Tampa General Hospital

WENDY S. PORTIER, Independent Consultant

- Describe the unique privacy and security risks clinical trials pose to healthcare organizations
- Illustrate key components to consider when assessing technology and data used in clinical research
- Discuss how to implement a technology feasibility process considering data and cybersecurity risks

### M3B What Is the Maximum Permissible Dose of Morphine or Fentanyl? A Question of Physician Compliance and Quality of Care

*Subject Area: Physician Compliance*  
*Level: Advanced*

BRADFORD D. WINTERS, Ph.D., M.D., Johns Hopkins University School of Medicine

DAVID N. HOFFMAN, Chief Compliance Officer, Carthage Area Hospital

- Evaluate the compliance risk from the indictment of an Ohio physician on 25 homicide counts
- Monitor excess doses of pain medications that can lead to respiratory depression
- Learn to establish proper hospital audits to protect the lives of medicated patients

### M3C What Compliance Professionals Should Know About Peer Review Protections

*Subject Area: Compliance Law*  
*Level: Basic*

ALLISON ANDERSON, Associate General Counsel, Charleston Area Medical Center

MYRANDA J. PIKE, Healthcare Consulting Manager, Arnett Carbis Toothman LLP

- The origins and scope of the peer review privilege
- Best practices for preserving the peer review privilege in internal investigations
- The intersection of peer review privilege and physician employment issues

### M3D Investing in Yourself: Strategies for Individual Development as a Compliance Professional

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Advanced*

DANIELL FAGAN, MSW, LICSW, President & CEO, Anytime Leadership, Linical Performance Solutions Partner, Lifespan

DONNA SCHNEIDER, Vice President, Corporate Compliance and Internal Audit, Lifespan

- Share strategies for successful professional development with focus on investment in personal talent
- Explore the importance of self-growth management and staying current on the compliance career journey
- Teach how to have critical conversations to enhance personal talent development

### M3E Electronic Management of Medicare Short-Stay Admissions to Ensure Compliance with the Two-Midnight Rule

*Subject Area: Auditing and Monitoring*  
*Level: Intermediate*

PATRICIA RESNIK, VP CareVio Care Management, Christiana Care Health System

ANUJA MOHLA, Senior Physician Advisor, Christiana Care Health System

- Recognize the importance of short-stay management processes to ensure compliance with Medicare regulations
- Enhance electronic communication between utilization management and patient financial services
- Analyze retrospective data and engage senior leadership to improve short-stay trends

### M3F Addressing High-Risk Compliance Areas and Avoiding Common Pitfalls in Risk Assessment, Monitoring & Auditing

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

LESLIE BOLES, Director of Compliance Audit, WCP Healthcare, Waud Capital Partners

KELLY J. SAUDERS, Partner, Deloitte

- Build a compliance work plan to proactively address known areas of risk
- Learn how to approach risks using different assessment techniques: Training, analytics, auditing
- Demonstrate a continuous improvement process within your compliance program

### M3G My Brother's Keeper: Mitigating Risk Through Business Partner Compliance Program Toolkits

*Subject Area: Risk Management*  
*Level: Intermediate*

MATTHEW M. WERNER, VP, Global Chief Compliance Officer, Bio-Rad Laboratories

- Surveying the risk environment: Determining which business partners are driving your risk
- Effective implementation: Creating right-sized toolkits that your partners will find attractive
- Measuring success: Assessing tool-by-tool effectiveness and evolving your kit to stay ahead of risk

### M3H Board Oversight of Compliance Programs: What Is Required and How to Help Your Board Succeed

*Subject Area: General Compliance/Hot Topics*  
*Level: Advanced*

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Recent case law and developments involving a board's duty of care obligations for compliance
- What does it take to be an effective board compliance committee member?
- Models and strategies for helping your board succeed in its oversight role

## M3I Building Best-In-Class Compliance Programs for Small Organizations

*Subject Area: General Compliance/Hot Topics*  
*Level: Basic*

TRACY E. TRACY, VP, Compliance and Legal, CCPO, NovuHealth

- Learn how to manage big obligations in a small organization with limited resources. Your large clients/partners may see you as a source of risk. Make sure you have the right tools in place to be credible and effective
- Discover ways to ensure you are covering all of the essential elements of a compliance program. We'll discuss tools and strategies for being effective in all seven elements of an effective compliance program
- Explore how to identify your risks and win at audit responses. We'll discuss risk assessments and ways to use audits as important feedback for building a more comprehensive and stronger program for your organization

## M3J Telehealth & Cutting Edge Ventures: The Partnership between Strategic Planning & Privacy

*Subject Area: Telehealth*  
*Level: Intermediate*

JULIE HALL-BARROW, Senior Vice President, Children's Health

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

- The perspective from strategic planning and new ventures: Why involve the privacy officer
- The privacy officer perspective: How to be a good partner
- Challenges of telemedicine and other cutting edge technology from a privacy perspective

3:20 – 3:40 PM CDT

## Coffee Break

3:40 – 4:40 PM CDT

## BREAKOUT SESSIONS

### M4A Notice of Breach of Unsecured Protected Health Information from a Business Associate

*Subject Area: Privacy and Security*  
*Level: Intermediate*

THORA A. JOHNSON, Partner, Venable LLP

MARK J. FOX, Privacy and Research Compliance Officer, American College of Cardiology

- Discuss breach roles and responsibilities of the business associate and the covered entity
- As a covered entity, examine how to prepare for breach response involving a business associate
- Explore lessons learned from large-scale responses by those who have gone before you

### M4B Who Should Own Making Sure Physician Arrangements are Compliant?

*Subject Area: Physician Compliance*  
*Level: Intermediate*

DAVID S. LANE, Chief Compliance Officer, Providence St. Joseph Health

SHERYL VACCA, SVP/Chief Risk Officer, Providence St. Joseph Health

RYAN MEADE, Professor, University of Oxford; SCCE & HCCA Boardmember

- Discuss key regulatory points on referral arrangements
- Review how operations and compliance can divide responsibility for arrangements
- Outline compliance auditing and monitoring options for arrangements

## M4C Legal and Ethical Considerations When Conducting an Internal Investigation

*Subject Area: Compliance Law*  
*Level: Intermediate*

RAJ SHAH, Senior Regulatory Attorney, MagMutual Insurance Company

SCOTT GRUBMAN, Partner, Chilivis, Grubman, Dalbey & Warner, LLP

- Discuss legal and ethical issues that often arise during internal investigations
- Analyze cases and guidance on how to navigate ethical considerations in internal investigations
- Review case studies of ethical dilemmas in internal investigations and how to resolve them

## M4D Selling Effectiveness: Getting the Board On Board with Building an Effective Compliance Program

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Advanced*

DARRELL W. CONTRERAS, Chief Compliance Officer, Millennium Health

- Develop strategies for creating a board vision for an effective compliance
- Use published guidance to create the framework for the effectiveness
- Define a methodology for efficiently and effectively reporting progress

## M4E How Am I Doing? (ACOs and CINs): In the shift to value-based care, there has been a proliferation of ACOs and CINs - Are these organizations being audited and monitored for important antitrust compliance concerns and contracting regulatory issues?

*Subject Area: Auditing and Monitoring*  
*Level: Intermediate*

JAMES M. DANIEL, Director, Hancock Daniel Johnson & Nagle, P.C.

MARY C. MALONE, Attorney (Shareholder/ Partner), Hancock Daniel

- Differentiate between ACOs and CINs and their respective compliance challenges and needs
- Define antitrust presumptions and approaches to monitor meaningful programs of clinical integration
- Discuss strategies for auditing various types of waiver programs and models for compliance

## M4F How to Virtually Evaluate the Effectiveness of Your Skilled Nursing Facility Compliance & Ethics Program

*Subject Area: Post-Acute Care*  
*Level: Intermediate*

KIRSTEN TAYLOR-BILLUPS, System Director of Compliance, Senior Services, CommonSpirit Health

- Learn how to develop an effective skilled nursing facility compliance and ethics evaluation tool
- Learn to identify the essential tools used in conducting a virtual compliance risk assessment
- Learn how to conduct effective compliance risk assessment interviews

## M4G Emerging Risks of a Remote Workforce

*Subject Area: Risk Management*  
*Level: Intermediate*

VALERIE CLOUD, Atrium

GUI COZZI, Cybersecurity Practice Lead, Dean Dorton

- How to manage and monitor risk in a remote working environment
- Technology migration of your remote workforce
- Lessons learned in the current remote environment

## M4H Building a New Research Compliance Program: Where Do I Begin?

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

TRACY L. POPP, Sr Director, Clinical Research, Tampa General Hospital

LYNN E. SMITH, Dir, Research Compliance Ofcr, Tampa General Hospital

- Understanding the importance of a research compliance program
- Define the elements of a research compliance program and what should be implemented first
- Address the impact of research compliance on research operations

## M4I Hot Compliance and Enforcement Topics Regarding COVID-19

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

RACHAEL HONIG, First Assistant U.S. Attorney, U.S. Attorney's Office - District of New Jersey

BRIAN STIMSON, Partner

- Survey recent federal enforcement initiatives related to COVID-19
- Identify compliance risks related to COVID-19 relief programs, such as the Provider Relief Fund
- Offer practical perspectives on how to mitigate compliance risks and respond to enforcement actions

## M4J Community Mental Health Centers: An Insider's View for an Effective Compliance Program

*Subject Area: Behavioral Health*  
*Level: Basic*

KAREN MOONEY, Risk and Compliance Officer, AllHealth Network

STEPHANE HANSON, VP Product Development, Healthicity

- Learn how a compliance program at a community mental health center (CMHC) works including a discussion of unique challenges and aspects of a CMHC
- Learn about the necessary structure to drive efficiency and timely gathering/processing of information specific to CMHCs
- Discover how software functionality has provided support for the needed compliance structure and see how software tools can improve your CMHC's compliance program effectiveness

4:40 – 4:50 PM CDT

Break

4:50 – 5:50 PM CDT

Opening Conference Social Event



## TUESDAY, APRIL 20

9:30 – 10:30 AM CDT

### BREAKOUT SESSIONS

#### T1A Virtual Privacy Investigations During COVID-19

*Subject Area: Privacy and Security*

*Level: Intermediate*

CHRISTINE R. KYAK, Compliance & Privacy Officer, Georgetown University Hospital

- Privacy compliance investigations during a pandemic
- How to leverage telecommunications platforms, such as Microsoft Teams and WebEx
- How to use these same communication platforms to perform education and post-investigation for employees

#### T1B Designing and Implementing an Audit Program for Physician Services

*Subject Area: Physician Compliance*

*Level: Basic*

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

- Determine the focus and structure of a physician auditing program
- Establish the parameters of the audit: Internal versus external auditor, scope, selection, timing
- Develop the most effective means for reporting audit results: Report formats and education efforts

#### T1C Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel During the Age of COVID: The Deal Is Done, Now What?

*Subject Area: Compliance Law*

*Level: Advanced*

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

- How to maintain compliance with a multi-specialty/multi-state acquisition involving private equity including regulatory compliance and enforcement, licensing, HIPAA, health benefits and employment considerations with a focus on the impact of COVID
- Compliance, risk management and credentialing: Minimum necessary versus best business practices
- A compliance officer's playbook: Creating action plans for a robust compliance program fitting for future acquisitions

#### T1D Work Smarter Not Harder: Effective Annual Work & Audit Plan Development Strategies

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

KRISTIN L. MEADOR, Director, Compliance Audit, Carilion Clinic

DENISE STEWART, Director, Internal Audit, Carilion Clinic

BRENDA K. MANNING, Privacy Director and Privacy Officer, Carilion Clinic

- Learn how to assess, rate, and account for changing organizational risk
- Calculate available staff time to devote to meeting plan objectives
- Successfully engage your team: Track individual, team, and department performance

#### T1E How to Successfully Navigate a CMS Risk Adjustment Data Validation Audit

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

AHMED SALIM, Director of Ethics and Integrity, iRhythym

- Development of a project plan including aligning on audit deadlines/milestones with stakeholders
- Utilizing audit tracking tools to assist in the completion of the risk adjustment validation audit
- Report out findings to key stakeholders identifying program gaps and development of corrective action

#### T1F Skilled Nursing Abuse and Neglect: CMS Developments and Compliance Strategies

*Subject Area: Post-Acute Care*

*Level: Intermediate*

SARA RUDOW, Senior Director of Regulatory Services, American Health Care Association

SEAN FAHEY, Attorney, Hall Render Killian Heath

- Review of regulations and CMS focus: Expansion of F-Tags and alert icon
- Explore recent OIG and congressional activities addressing abuse and neglect in long-term care
- Identify strategies to support compliance and training efforts

#### T1G The Opioid Crisis a.k.a. Prescription Drug Abuse Epidemic: From the Provider's Perspective

*Subject Area: Risk Management*

*Level: Intermediate*

SONAL J. SHAH, Former Sr. Vice President, Compliance and Risk Officer

- Explore controlled substances, drug diversion, opioid misuse, patient brokers, and risk to patients
- Study regulatory requirements: CSA, SUPPORT Act, EKRA, DEA reporting, HIPAA, ADA
- Understand hospital liability, compliance responsibility, and opioid diversion and detection programs

#### T1H Art of War: Using Time-Tested Strategies and Principles in Advancing Your Compliance Program

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

WILLIAM K. WONG, Corporate Compliance Supervisor, Aon Hewitt - Healthy Paws Pet Insurance

- Learn how to plan, position, and direct your resources to achieve the compliance program's goals
- Learn how to maximize your employees' potential with minimal additional cost
- Learn the various tactics that are needed to be successful in responding to shifting circumstances

## T1I Conducting Internal Investigations in 2021 and Beyond

*Subject Area: Investigations*

*Level: Intermediate*

CHUCK DUROSS, Partner, Morrison & Foerster LLP

ALEC KOCH, Partner, King & Spalding

SULAKSH R. SHAH, Partner, PricewaterhouseCoopers

- Learn about regulatory expectations including examples of investigations gone wrong
- Learn about how COVID has changed the way investigations are conducted
- We will also discuss other issues such as privacy, blocking statutes, state secret laws, etc.

## T1J Top IT and Cyber-Risks to Include in Your Audit Plan: 2021 Update

*Subject Area: Internal Audit*

*Level: Intermediate*

JOHAN LIDROS, President, Eminere Group

- Understand latest key IT and cyber threats that can challenge the ability to deliver quality outcomes
- Provide updates in regulatory requirements and control frameworks related to IT and cybersecurity
- Health IT's impact on your audit plan

10:30–10:50 AM CDT

## Coffee Break

10:50–11:50 AM CDT

## BREAKOUT SESSIONS

### T2A You Are Only as Secure as Your Riskiest Vendor

*Subject Area: Privacy and Security*

*Level: Intermediate*

KELLIE J. WORLEY, Consultant, Professional Services, Clearwater

DAWN R. POLEN-MORGENSTERN, Senior Principal Consultant & Chief Privacy Officer, Clearwater

- Identify a framework for a vendor risk management (VRM) program and developing a foundation
- Develop strategies to identify, evaluate, and reduce risk
- Maintain consistency across VRM to accurately assess acceptable/unacceptable risks

### T2B Physician Coding During COVID-19

*Subject Area: Physician Compliance*

*Level: Basic*

CATHY JEFFERSON, Compliance Officer, University Hospitals

- Overview of coding during a pandemic
- How to make sure your practice is getting paid for the work that is being done
- Successful coding before, during, and after the pandemic

### T2C The IRS 990 Information Return: Compliance Report, Disclosure Tool, and Data Mining Source

*Subject Area: Compliance Law*

*Level: Advanced*

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

- Understand the use of the IRS 990 as a tool for assessing and improving organizational compliance
- Learn the weaknesses of existing 990 reporting and consequences in investigation and enforcement
- Watch a cross-examination of a senior executive with 990s he signed

### T2D The Federal Register, Survey & Certification Letters, and the Conditions of Participation: The Trifecta of a Compliance Professional's Potential to Stay Informed

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

FRANK RUELAS, Corporate Responsibility Officer, Hospital Setting

- Monitoring the Federal Register for rule updates and revisions
- Correlating rule changes to the Code of Federal Regulations
- Reconcile rule changes with the Conditions of Participation

### T2E The Anatomy and Physiology of a Managed Care Organization Compliance Audit of a Hospital

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

MARK NEU, Compliance and Privacy Officer, Prospect Medical Holdings, LLC

ELIZABETH VO, Network Compliance, SCAN Health Plan

- Responding to a notice of audit by a managed care organization
- Understanding the compliance attestation for First Tier, Downstream, and Related Entities (FDR)
- Follow up, deficiencies, and monitoring for compliance post audit

### T2F Clinical-Community Partnerships to Address Health-Related Social Needs: Championing Initiatives from the Compliance Office

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

SARAH DOWNER, Associate Director, Center for Health Law and Policy Innovation - Harvard Law School

RACHEL LANDAUER, Clinical Fellow, Center for Health Law and Policy Innovation - Harvard Law School

- Discuss the importance of clinical-community partnerships to address health-related social needs and opportunities for compliance officers to champion innovative initiatives
- Describe compliance-related concerns that are regularly cited as barriers to partnership
- Offer key strategies and regulatory considerations for navigating and managing compliance concerns

### T2G Somebody Call the Risk Manager: How to Turn Every Unexpected Event into an Opportunity to Shine

*Subject Area: Risk Management*

*Level: Basic*

KARA BENEDICT, AVP, Governance Risk & Compliance

- Understand how to effectively manage human behaviors when things go wrong
- Articulate how to overcome normal biases in order to conduct a fair investigation
- Explain how the risk manager can perpetuate a culture of safety with every unexpected event

## T2H Chasing the Goal: How Do You Know if Your Compliance Program Is Truly Effective?

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

DEBBIE TROKLUS, President, Troklus Compliance Consulting; SCCE & HCCA Boardmember

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

- Why compliance program effectiveness is essential
- Challenges to achieving effectiveness
- Best practice tips to help your program achieve the effectiveness goal

## T2I The Conflict of Interest Gamble: How Compliance and Internal Audit Used Open Payments Data to Make COI Evaluation a Sure Bet

*Subject Area: Internal Audit*  
*Level: Intermediate*

MONTE DAVALT, Director, Internal Audit, Mercy

SAMUEL A. ROGERS, Director, Compliance, Mercy

- Understand the value of CMS' Open Payments Data to your compliance program
- Explore how data analytics can transform CMS' Open Payments Data into a powerful resource
- Discuss how to utilize your Open Payments Data in your conflict of interest process, and more

## T2J Physician Coding and Billing Cases Gone Badly

*Subject Area: Case Studies*  
*Level: Intermediate*

C.J. WOLF, Director, Conflict of Interest, Intermountain Healthcare

- Coding and billing modifiers often bypass payor edits; discuss cases where this lead to problems
- Incident to is still a problem for physicians. We will walk through cases where this went awry
- Upcoding still occurs in physician practices. Discover cases where upcoding resulted in enforcement

11:50 AM – 12:10 PM CDT

## Coffee Break

12:10 – 12:15 PM CDT

## Opening Remarks

## GENERAL COMPLIANCE SESSION 3: TBA

1:15 – 2:15 PM CDT

## Mid-Conference Break

2:15 – 3:15 PM CDT

## BREAKOUT SESSIONS

### T3A The NIST Privacy Framework: Measurable Objectives for Managing Privacy

*Subject Area: Privacy and Security*  
*Level: Intermediate*

KAREN S. GREENHALGH, Managing Principal, Cyber Tygr

- Compare and contrast NIST's Cybersecurity and Privacy Frameworks
- Describe the structure and purpose of the NIST Privacy Framework
- Understand how to use the NIST Privacy Framework in healthcare organizations

### T3B Compliance Session TBA

### T3C Ask the Stark Law Professionals

*Subject Area: Compliance Law*  
*Level: Advanced*

CHARLES B. OPPENHEIM, Partner, Hooper Lundy Bookman, PC

LESTER J. PERLING, Partner, Nelson Mullins Riley & Scarborough, LLP

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

- General overview of the Stark Law
- Bring your Stark Law questions and the panel will analyze and discuss "real time" potential risks
- "Live" answers to your Stark Law operational questions

## T3D Living In Paradise: Thriving and Succeeding in a Remote Work Environment

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Intermediate*

NIURKA I. ADORNO, AVP Compliance, Molina Healthcare of South Carolina & Molina Healthcare of Puerto Rico

KYM J. CREEKMORE, Compliance Officer, National Service Center-Eurofins

- Can you hear me now? Using technology effectively
- Where's Waldo? Managing expectations and performance
- The right stuff: Practical tools and tips for success

## T3E Who's Who in Your Hospital or Practice? Third Party Risk Management

*Subject Area: Auditing and Monitoring*  
*Level: Intermediate*

GREG E. GORECKI, Compliance Specialist, Univ Hospitals of Cleveland

EDWARD L. SOYKA, Manager, Hospital Compliance, University Hospitals

- Developing a vendor risk assessment tool and why you need it
- How to implement third party risk management oversight
- Measuring success factors and effectiveness of TPRM

## T3F Compliance in the Era of Millennials

*Subject Area: General Compliance/Hot Topics*  
*Level: Basic*

STEPHANIE SEBASTIAN, Manager, Compliance & Licensure, Kroger Specialty Pharmacy

- Understand what and who the Millennials (really) are
- Learn the connection points for Millennials and as a Millennial for other cohorts
- Takeaways to ensure effective future impacts for your team and department

## T3G ERM/New COSO Framework for Compliance

*Subject Area: Risk Management*  
*Level: Intermediate*

DANIEL R. ROACH, Chief Compliance Officer, Optum 360

## T3H COI ALERT: Sunshine Expansion and International Influence

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

C.J. J. WOLF, Director, Conflict of Interest,  
Intermountain Healthcare

ANDREW H. HILL, Compliance Analyst/  
Auditor, UK HealthCare Office of Corporate  
Compliance

REBECCA M. SCOTT, COI Specialist,  
HealthStream

- Provide expertise in Open Payments expansion and international espionage risk
- Leverage new COI data exploration to mitigate risk for your organization
- Develop “boots-on-the-ground” best practices to manage changes in compliance programs

## T3I To the Pandemic and Beyond! Achieving and Sustaining Compliance for Your Telehealth Program

*Subject Area: Telehealth*  
*Level: Intermediate*

LAURA KESSEL, Director of Telehealth  
Services, SIU School of Medicine

KATHERINE B. COHEN, Chief Compliance  
Officer, Southern Illinois University Medicine

- Identify compliance risks in your telehealth program both during the COVID-19 pandemic and beyond
- Discuss assessing and planning for long-term compliance within a changing regulatory landscape
- Identify best practices for a successful proactive partnership between telehealth and compliance

## T3J Compliance Session TBA

*Subject Area: Behavioral Health*

3:15–3:35 PM CDT

## Coffee Break

3:35–4:35 PM CDT

## BREAKOUT SESSIONS

### T4A An Advanced Look at Basics: Business Relationships under HIPAA and Why It’s So Important to Get Them Right!

*Subject Area: Privacy and Security*  
*Level: Advanced*

AUTUMN GRIFFITH, Manager, Privacy & Civil  
Rights Operations, MultiCare Health System

MONICA R. FREEDLE, Director of Privacy &  
Civil Rights | Chief Privacy Officer, MultiCare  
Health System

- Review organizational structures (ACE, OHCA, and more) established in the Privacy Rule and what these mean to your privacy program’s daily activities
- Explore requirements for determination and documentation, along with how to incorporate these elements into complex organizations and growing responsibilities
- Apply MultiCare’s lessons learned and education successes to move forward with your own plans

### T4B Medicare Wellness Visits, Patient Visits, and Additional Services All on the Same Date of Service: A Compliant Revenue Opportunity

*Subject Area: Physician Compliance*  
*Level: Intermediate*

SALLY A. STREIBER, Director of Provider  
Compliance, University Hospitals

- Learn the fundamentals of Medicare wellness visits to ensure correct documentation
- Realize greater efficiency by pairing Medicare wellness visits and established patient visits
- Bill for care you are already providing by incorporating additional billable services

### T4C Compliance Session TBA

*Subject Area: Compliance Law*

## T4D 8th Commandment: Implementing Effective Disciplinary Framework

*Subject Area: How to Succeed as a  
Compliance Professional*  
*Level: Advanced*

REGINA F. GURVICH, Chief Compliance,  
Privacy, & Risk Officer, OMNI Ophthalmic  
Management Consultants

- Engage HR to assess existing structure review regulatory framework and develop “minimum necessary” set
- Development of the joint (HR/compliance) approach to investigations, documentation, and workflow
- Through the looking glass: Review of sample investigation

## T4E Organizational Solutions for Implementing Effective Corrective Action and Prevention Plans (CAPA) Following Internal Quality Assurance Compliance Reviews

*Subject Area: Auditing and Monitoring*  
*Level: Intermediate*

NATALIE A. RAMELLO, Vice President  
Chief Institutional Compliance Officer, UT  
Southwestern

DEEPIKA BHATIA, Director - Research &  
Academics Compliance, UT Southwestern  
Medical Center

- Review risk-based quality assurance, audit scope, and methodology
- Describe the use and benefits of internal monitoring and avenues to engage institutional leaders
- Discuss strategies for evaluating the organizational effectiveness of corrective action planning



## T4F Best Practices for Compliance Investigations by Post-Acute Providers

*Subject Area: Post-Acute Care*  
*Level: Basic*

DONNA J. THIEL, Chief Compliance Officer, ProviderTrust

KELLY THOMAS, Attorney, Starnes Davis Florie LLP

- Identify the best practices and common pitfalls that occur when an issue turns into an investigation
- Determine how/when to use in-house versus outside counsel; consultants or just your compliance team
- How to create a legal analysis of the impact of the compliance "issue" under investigation

## T4G Physician Arrangements: Strategies to Reduce Compliance Risk and Manage the Self-Disclosure Process

*Subject Area: Risk Management*  
*Level: Intermediate*

SHARON A. HARTZEL, Partner Reg. Compliance Practice, Moss Adams, LLP

LORI LAUBACH, Partner, Moss Adams, LLP

- Discuss best practices around avoiding improper physician arrangements
- Review internal monitoring functions to have in place to avoid penalties
- Learn federal regulations that are most problematic in terms of penalties

## T4H When Two Worlds Collide: Practical Compliance Strategies for Managing an Opioid Epidemic during a Global Pandemic

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

NANCY M. RUZICKA, Owner, Ruzicka Healthcare Consulting, LLC

DENISE M. HILL, Associate Professor of Practice, Drake University, College of Law, Business & Public Admin.

- Discuss the impact of the COVID-19 pandemic/recent regulatory developments on the U.S. opioid epidemic
- Demonstrate best practices for mitigating and managing opioid-related organizational risks
- Identify new/modified strategies to address the competing demands of opioid and pandemic compliance

## T4I Compliance Session TBA

*Subject Area: Behavioral Health*

## T4J From Bumpy Road to Fast Lane in Telehealth: How a Pandemic Forced One Organization over the Hump

*Subject Area: Case Studies*  
*Level: Intermediate*

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

- Learn how barriers to telehealth implementation had a health system stuck in a rut
- Examine how the organization kicked the telehealth project into high gear in response to COVID-19
- Discuss the future path of telehealth in a post-waiver world

4:35 PM CDT

## Regular Conference Ends

4:45 – 5:45 PM CDT

## OPTIONAL BONUS SUNSET SESSIONS

## TB1 Interoperability: Industry Perspectives

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

JESSICA CORNELIUS, Chief Information Officer, Sutter Health

JEFF KINNISON, Principal, Interoperability, Humana

FRANCIS R. GRABOWSKI, Director, PwC

- Hear from leaders at organizations about their interoperability strategy
- Learn about actions their companies are taking to transform healthcare through data sharing
- Understand how they are addressing privacy and security risks and other compliance concerns

## TB2 Core Elements of EMTALA for the Compliance Professional

*Subject Area: General Compliance/Hot Topics*  
*Level: Basic*

FRANK RUELAS, Corporate Responsibility Officer, Hospital Setting

- Review of the key EMTALA requirements
- Patient flow and meeting the MSE process
- Key audits to support compliance with the CoPs

## TB3 Compliance in the COVID-19 Era: What Just Happened and Where Do We Go From Here?

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

ANNA M. GRIZZLE, Partner, Bass, Berry & Sims PLC

- Gain understanding of CARES Act fund distribution requirements and potential compliance concerns
- Review requirements of Section 1135 waivers during COVID-19 pandemic and post-pandemic implications
- Learn tips for auditing compliance of and steps to take post-pandemic to avoid pitfalls

## TB4 Compliance Session TBA

*Subject Area: General Compliance/Hot Topics*

## WEDNESDAY, APRIL 21

8:15–9:15 AM CDT

### OPTIONAL BONUS SUNRISE SESSIONS

#### WB1 Effective Compliance through Organizational Design

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

BRIAN J. MLINARSIK, System Director  
Compliance, Bon Secours Mercy Health

BRIAN WELLS, System Director of  
Compliance, Bon Secours Mercy Health

- Understand three types of options in compliance organization design
- Compare and contrast beneficial characteristics of organizational designs
- Determine an effective organizational design for your program

#### WB2 Two-Headed Beast: Compliance and Research Billing

*Subject Area: General Compliance/Hot Topics*

*Level: Advanced*

RYAN D. MEADE, Fellow, University of Oxford

KELLY M. WILLENBERG, Manager, Kelly  
Willenberg and Associates; SCCE & HCCA  
Boardmember

- Describe the correlation between compliance and research billing
- Diagram the compliance issues around research billing
- Estimate and mitigate research billing risks

#### WB3 COVID-19 Oversight: An Integral Part of an Effective Corporate Compliance Program

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

ANN MARIE HENNESSY, Vice President of  
Corporate Compliance, The Hebrew Home for  
the Aged at Riverdale

- Understand the importance of COVID-19 regulations as part of your corporate compliance program
- Understand the need for oversight of testing requirements, implementation, and documentation
- Importance of educating board of trustees and staff on facility's COVID-19 oversight and compliance

#### WB4 Controlled Substance Diversion Prevention Programs: What Should You Do?

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

AMY SALA, Drug Diversion Specialist,  
University Hospitals

EDWARD L. SOYKA, Manager - Hospital  
Compliance, University Hospitals

- Creating and maintaining a Drug Diversion Task Force; implementation of a response system
- Best practice in maintaining a reactive and proactive controlled substance diversion program
- Cultivating a “speak up” environment that prevents drug diversion and identifies resources for staff

9:30–10:30 AM CDT

### BREAKOUT SESSIONS

#### W1A Business Continuity and Disaster Recovery: A Critical Component of Compliance and Risk Management

*Subject Area: Privacy and Security*

*Level: Basic*

JOHAN LIDROS, President, Eminere Group

- Every healthcare organization claims to have a business continuity/disaster recovery (BCDR) plan; many are inadequate, resulting in risk of extended down time, patient safety, and non-compliance
- For a BCDR plan to be effective, an organization needs well-defined roles/responsibilities, defined measurements with regular reporting to relevant executive stakeholders and committees (compliance, quality/patient safety, IT governance, etc.)
- This session will explain all the key components of BCDR from a healthcare perspective, regulatory requirements, best practice frameworks and case studies of strong implemented BCDR programs

#### W1B The Evolving Landscape of Clinical Documentation: Exploring the 2021 E/M Changes

*Subject Area: Physician Compliance*

*Level: Advanced*

CINDY W. HART, Compliance Professional

JACKIE ROBERTSON-GUTSHALL, Compliance  
Officer, Advanced Regional Center for Ankle  
and Foot Care

- To identify the influence of the 2021 E/M changes on provider documentation; evolution to time and MDM
- To simplify the documentation review process and provide effective monitoring and auditing tools
- To explore the impact of the 2021 changes across the care continuum

## W1C Electronic Health Records: Enforcement Trends and Compliance Issues

*Subject Area: Compliance Law*

FELICIA HEIMER, Senior Counsel, Office of Counsel To the Inspector General, U.S.

- An overview of recent settlements with EHR software companies resolving allegations of violations of the FCA, AKS, and non-compliance with EHR program requirements
- Explore potential compliance risks that providers must monitor when using EHR

## W1D Compliance Datamining and Benchmarking: How Data-Driven Decision Making Can Boost Your Compliance Program Success!

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

PEIMAN SAADAT, Vice President, Corporate Compliance Officer, AdvantageCare Physicians

- Datamining basics: How to start a compliance datamining program from scratch and advance it
- Benchmarking and trending: Outliers and proper follow ups
- Communicating the results and resolutions with all the stakeholders

## W1E SNF Compliance on a Quarter: Leveraging Your Resources to Implement an Effective Audit Plan

*Subject Area: Auditing and Monitoring*

*Level: Basic*

BETHANNE VANDERMOLLEN, Chief Compliance Officer/Director of Risk Management, Choice Health Management Services, LLC

YOLUNDA DOCKETT, Corporate Compliance & Privacy Officer, Lorian Health Services

- Identify the key risk areas within the skilled nursing environment
- Be able to develop a SNF audit and monitoring work plan
- Understand how to effectively implement an audit and monitoring work plan using available resources

## W1F Impacts of COVID-19 Compliance Protocols to Post-Acute Care Facilities and Home Based Care

*Subject Area: Post-Acute Care*

*Level: Intermediate*

JACK STROTHER, General Counsel, Aegis Therapies

RICHARD Y. CHENG, Member, Weaver Johnston Nelson, PLLC

- Identify the COVID-19-related challenges for the post-acute care industry
- Develop an understanding of legal obligations by post-acute care operators related to COVID-19
- Discuss and identify pragmatic strategies and actions items to mitigate the compliance concerns

## W1G Internal Audit's Role in Enhancing Your Risk Program

*Subject Area: Risk Management*

*Level: Basic*

LORRIE O. GHOSE, Chief Audit Executive, Fairview Health Services

- Conduct an internal audit and compliance enterprise-wide risk assessment; practical tools for conducting risk assessments
- Develop an enterprise risk management program to identify top risks; includes assessment tools and board reports
- Guide leadership to establish your organization's risk tolerance and principles

## W1H New Year, New Administration, New Priorities!

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

KIM BRANDT, Partner, Tarplin, Downs & Young

- Overview of key health care priorities for the new Administration
- Review of HHS/CMS 1135 waiver process and what waivers might become permanent (e.g., telehealth)
- Overview of CMS' COVID related Interim Final Rules with Comment and how those interact with the waivers

## W1I Investigations: Living in the House that Jack Built

*Subject Area: Investigations*

*Level: Intermediate*

CRISTINE M. MILLER, Partner, MCM CPAs & Advisors LLP

- Investigations can begin with an analysis of the data of another investigation (DOJ, OIG, AG)
- Investigations can also tend to take on a life of their own, if you let them. Stay focused
- The investigation builds from the original point then expands with additional data to conclusion

## W1J COVID-19 Pandemic Lessons Learned Case Studies: CMS Emergency Preparedness, Compliance, Vaccines, and Recovery

*Subject Area: Case Studies*

*Level: Intermediate*

KAREN GARRISON, Director of Aging Services, Connect Consulting Services, Inc.

NORA O'BRIEN, CEO, Connect Consulting Services

- Learn how case studies of healthcare providers have addressed the mitigation of the COVID-19 pandemic
- Offer planning and CMS compliance strategies and tools for addressing the COVID-19 pandemic
- Learn strategies of how healthcare agencies can manage the distribution of COVID-19 vaccines

10:30–10:50 AM CDT

Coffee Break

10:50–11:50 AM CDT

## BREAKOUT SESSIONS

### W2A Cybersecurity & Incident Response: The Nuts & Bolts of Detecting, Avoiding, and/or Responding to a Security Incident

*Subject Area: Privacy and Security*

*Level: Basic*

SCOTT WROBEL, Managing Member, N1 Discovery

DEBRA A. GEROUX, Shareholder, Butzel Long

- Cyber risk in healthcare: Update on what is trending in cyberattacks and risk areas
- Incident response: Real-world examples of how to handle incident response, including notifications
- Lessons learned from recent breach response and best practices

### W2B The Physicians in My Practice Want to Get Involved in Clinical Research: What Do I Need to Know and Do?

*Subject Area: Physician Compliance*

*Level: Basic*

JENNIFER J. SARTOR, Vice President, Compliance, National Spine and Pain Centers

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

- Research compliance basics for physician practice compliance officers
- How to develop a simple research compliance plan
- Best practices for engaging physicians and practice administration in research compliance

### W2C Compliance Session TBA

*Subject Area: Compliance Law*

### W2D How to Revitalize the Culture of Compliance with Innovative Education

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

LAQUENTA CLARKE, Corporate Compliance Education Manager, Shriners Hospitals for Children International Headquarters

- Establish a methodology for developing individualized branding for the compliance department
- Provide a process for devising risk-based education that encourages willful learning
- Discuss ways that education programs can improve communication channels and foster ethical culture

### W2E Developing Metrics that Matter: Building a Meaningful Compliance Scorecard for Hospitals and Health Systems

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

DANIEL R. ROACH, Chief Compliance Officer, Optum 360; SCCE & HCCA Board Member

- Not all metrics are equal: Understanding the importance of meaningful metrics
- Developing metrics that matter to the organization, your management, and the board
- Learn how to build a robust compliance scorecard that drives behavior and demonstrates effectiveness

### W2F Is Your Hotline “Hot?”: Approaches to Improving and Promoting Your Compliance Hotline

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

JIAJIA VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

- Understand the key elements of a disclosure program that determine the success thereof
- Learn about techniques to develop, evaluate, and select an effective hotline system
- Create and organize activities to promote the hotline and engage the workforce and the community

### W2G Role of Compliance in the IoT World

*Subject Area: Risk Management*

*Level: Basic*

ALAN BRILL, Sr. Managing Director, Kroll Associates

YVETTE GABRIELIAN, Sr. Vice President, Kroll Associates, Inc.

- There is a constant and consistent need for efficiency and the healthcare industry is not exempt
- IoT devices are finding their way into healthcare institutions and there have been problems
- Provide familiarization and a program for assuring compliance with best practices and applicable laws

### W2H Rethinking Compliance Program Effectiveness Reviews

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

KIMULET WINZER, Director, SunHawk Consulting

JAMES ROSE, Managing Director, SunHawk Consulting, LLC

- Understand how strategy and enterprise risk management impact CPE
- Learn how to leverage the Three Lines of Defense model to bolster compliance with less effort
- Move beyond a Seven Elements Review to broaden organizational design thinking

### W2I Reimagining Internal Audit and How to Deliver Enhanced Organizational Value with Analytics, Automation, and Visualization Solutions

*Subject Area: Internal Audit*

*Level: Intermediate*

JOHN LYNN, Director, PwC

JACK FLAHERTY, Director, PricewaterhouseCoopers

- Hear how data analytics and automation tools are evolving how internal audit departments manage risk
- Discuss keys to successful adoption, including starting with a digitally aligned mindset
- Learn what can happen when you provide the right mix of education, technology, and quality management



## W2J Sampling and Extrapolations: How to Address Problematic Populations

*Subject Area: Investigations*

*Level: Intermediate*

FRANK C. CASTRONOVA, Part-Time Faculty, Wayne State University

ANDREA C. MERRITT, Partner, Athena Compliance Partners

- Discuss overall statistical methods in sampling and extrapolations, including various approaches
- Present examples where populations are atypical; address how to sample and extrapolate
- Review updated regulatory guidance and best practices related to statistical sampling

11:50 AM – 12:10 PM CDT

## Coffee Break

12:10 – 12:15 PM CDT

## Opening Remarks

12:15 – 1:15 PM CDT

## GENERAL COMPLIANCE SESSION 4: TBA

1:15 – 2:15 PM CDT

## Mid-Conference Break: Visit with Sponsors

2:15 – 3:15 PM CDT

## BREAKOUT SESSIONS

### W3A From Silos to Synergy: Collaborating Across Departments to Provide Enterprise-Wide System Protections and Ensure Patient Privacy and Security

*Subject Area: Privacy and Security*

*Level: Intermediate*

ADAM DROZ, Customer Success Manager, FairWarning

BRITANI TULLIER, Senior Director Information Security, Franciscan Missionaries of Our Lady Health System

ELIZABETH J. CHAMPION, VP, Chief Compliance Officer, FMOL Health System

- Share ways to build relationships across privacy, HR, legal, security, compliance, and medical staff
- Discover how to break down departmental silos to improve patient privacy and security
- Learn how to apply a shared approach between compliance and security to build a culture of trust

### W3B Non-physician Provider Billing Compliance: Are Incident to and Split/Shared Regulations Giving You a Splitting Headache?

*Subject Area: Physician Compliance*

*Level: Intermediate*

DEBBIE C. BOHR, Compliance Audit Director, Northwell Health

- Learn how non-physician providers can improve the effectiveness of patient care while still conform
- Gain valuable insight and understanding to the challenging billing requirements to ensure healthca
- Gain knowledge of how to monitor and audit these practices while learning through scenarios and op

### W3C Whistleblowers: Who Are They and Why Do They Become Whistleblowers and How Do You Evaluate a Whistleblower Case?

*Subject Area: Compliance Law*

## W3D Engaging Clinicians in the Compliance Effort

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Advanced*

COLLEEN A. DENNIS, Compliance Analyst, Children's Hospital - Colorado Springs

KENNETH M. JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

- Finding clinicians who can help move compliance to high priority
- Engagement of clinicians in training initiatives
- Building relationships and processes that ensure clinicians are engaged in compliance

## W3E Effective Auditing of Your Clinical Practice with Less Resources

*Subject Area: Auditing & Monitoring*

*Level: Intermediate*

ROSALYN C. JAHN, Compliance Director, U.S. Anesthesia Partners

JOSE TABUENA, Compliance Counsel, U.S. Anesthesia Partners

WILLIAM R. PRIEST, Chief Compliance Officer, U.S. Anesthesia Partners

- Develop an effective compliance audit plan based on specific risk areas of your clinical practice
- Execute your audit plan with less resources, by incorporating automation and artificial intelligence
- Provide valuable feedback and education to your clinicians based on specific audit findings

## W3F Integrity Works

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

GIOVANNI D. GALLO, Co-CEO, ComplianceLine

NICOLAS S. GALLO, Co-CEO, ComplianceLine, Inc

ROY SNELL, Co-founder of SCCE and HCCA

- Be honest with yourself as a foundation for high-integrity action
- Cope with and mitigate your bias, and work toward truth and civil debate
- Apply a constant critical thinking process

## W3G GRC Triangle: Governance, Risk, and Compliance

*Subject Area: Risk Management*

*Level: Advanced*

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- The hazards of not preparing for potential issues can have significant, long-term effects; neglecting to have a comprehensive risk management plan in place can compromise patient care, increase liability risks, and result in financial losses
- Integrating core concepts across risk domains, compliance processes, and engaging RM into the audit/investigation workflow
- Case study: Following through investigation of internal controls in evolving organization, review of potential mitigation steps, and associated outcomes

## W3H OIG Developments

*Subject Area: General Compliance/Hot Topics*

GREGORY DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

- Discuss OIG investigations, audits, evaluations, enforcement actions, guidance documents, and other matters of interest to compliance professionals

## W3I How to Effectively and Legally Implement Telehealth in Your Organization

*Subject Area: Telehealth*

*Level: Basic*

KATEA RAVEGA, Quarles & Brady

JOSEPH F. ZIELINSKI, Senior Counsel, Wooden McLaughlin LLP

- Discussion and analysis of CMS' telehealth requirements and how a compliance program can monitor them, including state-specific examples
- Attendees will see how CMS' requirements mandate specific elements for telehealth visits to be appropriate for billing, including examples of changes related to the public health emergency and how CMS requirements can be different from state requirements
- Discussion of best practices for effectively implementing telehealth in your organization and identify common pitfalls post-COVID-19

## W3J Effectiveness Reboot: Rebuilding an Existing Program from Scratch

*Subject Area: Case Studies*

*Level: Intermediate*

MONICA R. FREEDLE, Director of Privacy & Civil Rights | Chief Privacy Officer, MultiCare Health System

SAMANTHA KARPENKO, Corporate Compliance Officer, Multicare Health System

- Learn what makes an effective compliance program through exploring MultiCare's redesign objectives
- Understand the program's customers to provide and implement accessible resources (code/charter/plan)
- Reinforce new program with a commitment to program visibility/image through continual effort/evals

3:15 – 3:35 PM CDT

## Coffee Break

3:35 – 4:35 PM CDT

## BREAKOUT SESSIONS

### W4A Reconciling Part 2 and HIPAA: How To Protect Your SUD Patients' Records

*Subject Area: Privacy and Security*

*Level: Intermediate*

NATALIE A. RAMELLO, Vice President Chief Institutional Compliance Officer, UT Southwestern

ABBY JACKSON, Director, HIPAA Privacy Officer, UT Southwestern Medical Center

- Differentiate between HIPAA regulations and Part 2 privacy regulations
- Apply strategies to ensure your organization is compliant with Part 2 and HIPAA regulations
- Adapt to upcoming Part 2 regulation changes that will impact your privacy program

## W4B Provider Compensation — A Valuator's Perspective: Where Do Your Risks Map?

*Subject Area: Physician Compliance*

*Level: Advanced*

JACKI JACOX, Senior Manager | MPA | CPA, Seim Johnson, LLP

- Review the existing provider compensation Fair Market Value (FMV) guidance
- Assess new ways to evaluate the risks associated with setting provider compensation
- Improve understanding of provider compensation using a risk assessment heat map

## W4C Emerging Trends in Physician Employment/Independent Contractor Contracting and Compensation Arrangements with Hospitals

*Subject Area: Compliance Law*

*Level: Advanced*

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

- Analysis regarding several emerging arrangements including quality, patient access, and bundled payments
- How are emerging contracting compensation arrangements complying with legal/regulatory requirements?
- How do emerging contracting/compensation arrangements further integration for hospitals/physicians?

## W4D Compliance and Legal: From Opposition to Partnership

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

GARY JONES, Attorney, Midwest Compliance Associates, LLC

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

- Understand the fundamental role differences between compliance and legal
- Interactive evaluation of case studies to determine the impact of the relationship between compliance and legal on the management and outcome of the situation
- Learn strategies to appropriately define the scope of the compliance and legal programs and facilitate strong working relationships between the two

## W4E What Is a Compliance Risk Assessment?

*Subject Area: Auditing and Monitoring*

SPEAKERS TBA

- The risk assessment and internal review process is now a standard provision in corporate integrity agreements. This change reflects OIG's focus on prioritization, early detection, and remediation of risks, before they become compliance problems

## W4F Simple Solutions for Optimizing SNF Therapy and Nursing Revenue Cycle Compliance

*Subject Area: Post-Acute Care*

*Level: Intermediate*

SONU KUMAR, VP of Compliance and Compliance Officer, Renewal Rehab, LLC

- Identify solutions and best practices to enhance integrity of claims
- Utilize ADR and denial analytics to identify gaps and opportunities in revenue compliance
- Incorporate components of revenue cycle into the compliance work plan

## W4G Compliance Alignment and Joint Ventures

*Subject Area: Risk Management*

*Level: Intermediate*

ROBERT G. BREIGHNER, Jr., Compliance Officer and Privacy Officer, Select Medical

ROBERT R. MICHALSKI

- Use compliance program alignment to protect joint venture (JV) arrangements in health systems. With JVs on the rise within health systems, compliance program alignment with JVs needs to be fostered to protect the brand and reputation of the participants
- Identify the necessary functions for a strong JV compliance relationship, such as establishing communication channels, baseline compliance and privacy assessments, ongoing monitoring and education, routine reporting and consistent dialogue
- Hear from compliance officers who have established this partnership as they share their personal highlights and best practices

## W4H Medical Marijuana: Practical Considerations for Health Care Providers

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

ASHLEE GRAY, Associate General Counsel, Ensign Services, Inc.

ALEAH SCHUTZE, Of Counsel, Steptoe & Johnson PLLC

- An in-depth discussion of state and federal marijuana laws with a particular focus on the conflict between those laws and how that impacts healthcare providers
- Identification of legal risks and compliance issues for providers that permit the use of medical marijuana in their facilities
- Discussion of best practices for healthcare providers on topics such as the storage and administration of medical marijuana, patient safety, and employment issues

## W4I Compliance Session TBA

*Subject Area: Behavioral Health*

## W4J Nothing Is Certain but Change...Dealing with the Changing Compliance and Reimbursement Requirements in Telehealth

*Subject Area: Telehealth*

*Level: Advanced*

TONJA WISE, CHC, CHPC, CCS, National Director of Revenue Management Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office

- Now what? The current landscape of telehealth changes to coding and reimbursement
- But do we really need to document so much? Complying with telehealth documentation requirements
- What about methodologies? Can I use my FaceTime now? Updates to complying with communications

4:35 – 4:45 PM CDT

## Break

4:45 – 5:45 PM CDT

## Conference Social Event

## THURSDAY, APRIL 22

9:30 – 10:30 AM CDT

### BREAKOUT SESSIONS

#### H1A The Privacy & Marketing Dream Team: Developing and Maintaining a Professional Relationship with Your Marketing Department and Why It Matters

*Subject Area: Privacy and Security*

*Level: Intermediate*

LISA A. TAYLOR, Vice President and Chief Compliance Officer, UC Health

DANIEL S. ELMINGER, Compliance Operations Analyst, UC Health

- Leverage social media and your marketing team to monitor for online potential HIPAA violations
- Collaborate with your marketing team to develop a more proactive privacy program
- Learn why marketing and privacy can, and should, work together to help your organization succeed

#### H1B Compliance and Liability Considerations for Physicians and Organizations Employing Physician Assistants and Nurse Practitioners: A Review of Case Law and Complaints

*Subject Area: Physician Compliance*

*Level: Intermediate*

KEVIN A. HICKMAN, Physician Assistant, Geisinger Medical Center

- Discuss compliance concerns arising from changes to the BBA and SSA related to the use of PAs and NPs
- Identify case law involving PAs, NPs, physicians, and organizations demonstrating compliance risks
- Describe strategies to reduce risks of violating the AKS and FCA when employing PAs and NPs

#### H1C Exclusions

*Subject Area: Compliance Law*

NICOLE CAUCCI, Exclusions Branch Reviewing Official, OIG

- Provide information on OIG's exclusion authorities and processes as well as OIG's guidance on screening for excluded individuals and entities, the effect of exclusion, and self-disclosure of the employment of excluded individuals

#### H1D How to Become a Data-Driven Compliance Professional

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

JIM ROUGH, President, SunHawk Consulting, LLC

JOSEPH F. ZIELINSKI, Senior Counsel, Wooden McLaughlin LLP

- Discussion of how to incorporate data and metrics into your compliance program using DOJ's Guidance on the Effectiveness of a Compliance Program and OIG-HCCA Measuring Compliance Program Effectiveness as a foundation
- See how to use the data you are already collecting to measure the effectiveness of your program
- Attendees will have the opportunity to review and receive a tool that they can use to apply a data-driven approach to measuring their compliance program's effectiveness and demonstrate how their compliance program aligns with government requirements

#### H1E Compliance Session TBA

*Subject Area: Auditing & Monitoring*

#### H1F PDPM Year One: Insights from the Auditors

*Subject Area: Post-Acute Care*

*Level: Advanced*

AMIE R. MARTIN, Director, Proactive Medical Review & Consulting

- Understand system, coding, and documentation risk areas identified by auditors in year one of PDPM
- Discuss work plan auditing and monitoring recommendations to mitigate PDPM risks
- Describe strategies employed by successful providers to overcome key PDPM compliance challenges

#### H1G Conducting a Rehab-Focused Risk Assessment: Identifying & Mitigating Your Risks

*Subject Area: Risk Management*

*Level: Intermediate*

YOLUNDA DOCKETT, Corporate Compliance & Privacy Officer, Lorian Health Services

HOLLY HESTER, SVP, Compliance and Education, Casamba

- Identify key elements of a rehab-focused compliance risk assessment
- Discuss prioritization of risk assessment results
- Describe a sample mitigation plan for identified risks

#### H1H Pandemics, Politics, and Value-Based Models, Oh My!

*Subject Area: General Compliance/Hot Topics*

*Level: Advanced*

ELIZABETH MILLS, MHA, JD, Chief Compliance Officer & Privacy Officer, The Christ Hospital Health Network

KRISTEN M. WOODRUM, Partner, BakerHostetler

JUSTIN CHAMBLEE, Senior Vice President, Coker Group

- Examine the current status of value-based models, including immediate COVID-19 impacts on CMS/CMMI programs and the potential reverberating effects on the future of these models
- Engage in an in-depth practical, legal, and financial analysis of the impact of the pandemic and economic/political winds on value-based initiatives and hospital/physician alignment opportunities
- Explore compliance pitfalls in physician compensation and productivity disruption from COVID-19

#### H1I The Roles of the Compliance Officer and the General Counsel

*Subject Area: General Compliance/Hot Topics*

LAURA ELLIS, OIG

JACK ROVNER

- The role of the compliance officer and the general counsel in the organization
- The reasons why healthcare entities have different people fill these roles
- The importance of a collaborative working relationship between compliance and legal that respects their separate roles



## H1J Health Compliances Role in Multi-Unit, Cutting Edge AMC Investigations

*Subject Area: Investigations*

*Level: Intermediate*

HOYT SZE, Managing Counsel, University of California Office of General Counsel

KIMBERLY H. GILLESPIE, Counsel, Troutman Sanders

- Understand the mechanics of AMC investigations regarding high-risk issues affecting multiple units
- Apply strategies for ensuring investigation integrity while properly coordinating with other units
- Identify privilege issues that might arise and how to address them

10:30–10:50 AM CDT

### Coffee Break

10:50–11:50 AM CDT

## BREAKOUT SESSIONS

### H2A The Privacy Considerations of Interoperability

*Subject Area: Privacy and Security*

*Level: Intermediate*

VALERIE BERG, Privacy Manager, Cambia Health Solutions

KRISTEN ERBES, Chief Privacy Officer, Cambia Health Solutions

- Review compliance challenges with CMS Interoperability and Patient Access rule
- Discuss specific privacy and compliance challenges and potential impacts
- Examine implementation approaches to meet requirements while maintaining privacy compliance

### H2B Got Comparative Data? CBRs for Physicians/ Other Outpatient Providers

*Subject Area: Physician Compliance*

*Level: Intermediate*

ANNIE BARNABY, Outreach/Education Specialist, RELI Group

KIMBERLY M. HREHOR, Program Director, RELI Group

- Utilize CMS' provider-specific comparative data reports (CBRs) to focus on Medicare vulnerabilities. CMS produces CBRs on a national level in support of their efforts to protect the Medicare trust fund
- Understand how to interpret a CBR and the potential benefits to an internal compliance program. The CBR supports adherence to coding guidelines, integrity of claims submission, and compliant operations
- Access additional educational resources to support further compliance activities, such as training materials, a sample CBR, and national- and state-level aggregate data

### H2C Anti-Kickback and Stark Law Developments: Where Are We Now and Where Are We Going?

*Subject Area: Compliance Law*

*Level: Advanced*

TONY MAIDA, Partner, McDermott Will & Emery

- Review policy clarifications and changes in the proposed (or final) AKS and Stark rules
- Examine COVID-19 AKS and Stark waivers and planning for when they expire
- Learn how to protect your organization and strengthen your compliance program on AKS and Stark

### H2D Congratulations New Compliance Officer and Risk Manager and Infection Control and Accreditation, and those Other Duties

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

SHARON L. TAYLOR, Dir Risk Management/ Accreditation Svcs, Burgess Health Center

DAWN MICHELLE KINNEER, Risk and Patient Safety Education Manager, Constellation - MMIC

- Compare the skills and alignment when the compliance officer is responsible for more than one role
- Describe the benefits and drawbacks when one has multiple roles and learn how to be successful
- Critique presented scenarios identifying key aspects depending on the particular role or lens

### H2E Self-Audits: Are You Looking at the Right Data?

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

TONJA WISE, CHC, CHPC, CCS, National Director of Revenue Management Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office

- Planning the work: How do we decide where to audit?
- Leveraging your resources: What do we really need?
- Process and methodology: How much is too much?

### H2F Laboratory Risk Assessment: The Top Risks and What to Audit

*Subject Area: General Compliance/Hot Topics*

*Level: Advanced*

ANDREA L. TREESE BERLIN, Senior Counsel, OIG-U.S. Department of HHS

BARBARA L. SENTERS, Chief Compliance & Ethics Officer, Sonic Healthcare USA, Inc.

- Discuss top laboratory fraud and abuse risks including recent cases
- Review suggested audit protocols
- Learn the foundation for an effective laboratory compliance program

## H2G Cost Effective and Practical Solutions to Compliance Risk Management

*Subject Area: Risk Management*

*Level: Intermediate*

FRANK MESAROS, Compliance Audit Coordinator, WellSpan Health

WENDY TROUT, Director Corporate Compliance West, WellSpan Health System

- Identify risks: There are many sources of risk—we present one team approach to identify risks
- Prioritize and tackle risks: We will review a method for tracking and prioritizing risks
- Manage risks: We will describe audit plan development, engaging others, and communicating risks

## H2H Read (Hidden) Emotions: Know What Others are Thinking and Feeling

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

DAN SEIDMAN, Managing Director, Read Emotions

- Read suppressed (hidden) emotions in the faces of others by experiencing a special video tool
- Receive coaching to see facial movements and know what others are really feeling
- Work through scenarios where knowing another's feelings gives you useful insights into credibility

## H2I 340B Drug Pricing Program: Recent Updates and Compliance Tips

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

JONATHAN A. LABUHN, Director of Pharmacy Supply Chain/340B, University of Kentucky HealthCare

NOELLE H. TRUE, Sr Compliance Mgr, Univ of KY Healthcare

- Know about the latest 340B Program legislative, regulatory, judicial, and industry developments
- Have a roadmap of what to include in a 340B policy and standard operating procedure
- Understand the importance of collaboration between compliance and operations for a good 340B Program

## H2J Oh No! What? Another Risk Assessment? A Fraud Risk Assessment

*Subject Area: Internal Audit*

*Level: Intermediate*

SONAL J. SHAH, Former Sr. Vice President, Compliance and Risk Officer

- Learn how to conduct a fraud risk assessment (FRA); combine it with other assessments
- Design preventive and detective controls, and explore the use of data analytics
- Study three recent case studies/enforcement actions

11:50 AM – 12:10 PM CDT

### Coffee Break

12:10 – 1:10 PM CDT

### BREAKOUT SESSIONS

#### H3A Privacy Officer Roundtable: Part 1

*Subject Area: Privacy and Security*

*Level: Intermediate*

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

MARTI ARVIN, Executive Advisor, CynergisTek, Inc.

- Highly interactive discussion of challenging privacy issues facing privacy officers, counsel, and staff
- Audience-selected topics for discussion with the session facilitators and colleagues
- Sharing of practical solutions from experts and peers for the tough day-to-day privacy struggles

## H3B Physician Arrangements Compliance Assessment: Assessing and Mitigating Physician Arrangements Risk

*Subject Area: Physician Compliance*

*Level: Advanced*

STEVEN H. PRATT, Attorney, Hall, Render, Killian, Heath & Lyman, P.C.

KENNETH ZEKO, Principal Advisor, Hall Render Advisory Services

- Identify key physician arrangement risk areas
- How to conduct a physician arrangements compliance assessment
- Practical steps to mitigate physician arrangements risk

## H3C Compliance Session TBA

*Subject Area: Compliance Law*

## H3D Ways Leaders Can Engage in Compliance Leadership

*Subject Area: How to Succeed as a Compliance Professional*

LAURA ELLIS, OIG

- Build strong intradepartment culture and interdepartmental relationships to improve E&C success
- How executive and senior leaders lead compliance by word and deed
- How executive and senior leaders can engage and mentor managers on managing for compliance

## H3E Achieving Revenue Cycle Success Through Compliance

*Subject Area: Auditing and Monitoring*

*Level: Basic*

KELSEY C. LAWSON, Chief Risk & Compliance Officer, Hennepin Healthcare

RACHEL PUGLIANO, Sr. Manager, Healthcare, Eide Bailly

- Connect compliance and the revenue cycle to best support operational success
- Examine the differences and similarities between compliance and charge capture functions
- Share examples that incorporate revenue cycle improvements as part of the compliance review

## H3F Executive Compensation in Nonprofit Healthcare Compliance Issues and Strategies

*Subject Area: General Compliance/Hot Topics*  
*Level: Advanced*

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

- Learn to apply the rules governing “reasonable compensation” benefits/perks and expense reimbursement to executives under IRS and state law
- Develop and review compliant plans and review processes for nonprofit executive compensation, including roles of compliance consultants, board compensation committees, and HR staff
- Learn recent initiatives and executive compensation vulnerabilities from regulatory perspective, including employee loans, split interest life insurance, severance and change of control payments, terminal consulting agreements, and “poison pill” contracts

## H3G Weathering the Compliance Storm Forecast for the Future!

*Subject Area: Risk Management*  
*Level: Basic*

DEBRA A. PHILLIPS, Compliance and Business Integrity Officer, VHA

STEVEN J. SAMPLE, Assistant Medical Center Director, Southern AZ VA Health Care System

- Develop an approach for identifying, evaluating, responding to, and monitoring risks and opportunities. This method helps you develop a systematic approach to analyze both the internal and external environmental factors impacting your enterprise
- How to partner with clinical stakeholders to identify risks impacting the enterprise and discover ways to develop a risk response strategy and cascade these risks and mitigation strategies throughout the organizational structure with C-Suite buy-in
- Demonstrate an enterprise risk management model to include a risk register, risk assessment tool, sample interview questions, and risk project plan. These tools will help illustrate a method to methodology implement a comprehensive enterprise risk plan

## H3H Understanding and Meeting Language Access Requirements for Services to LEP Persons

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

JACK BRANUM, CEO, Bluegrass Learning Solutions

ASHLIE S. HEALD, Partner, Athena Compliance Partners

- Discuss the importance of an established language access plan for providing services to LEP persons
- Discuss the challenges of meeting language access requirements with available resources
- Learn tips and tools for providing engaging and effective education on language access obligations

## H3I Corporate Integrity Agreements: A Closer Look at How OIG and Providers Work with Independent Review Organizations

*Subject Area: General Compliance/Hot Topics*

AMANDA COPSEY, ACRB Senior Counsel, Office of the Inspector General, US Department of Health and Human Services

KAREN GLASSMAN, Senior Counsel, Office of Counsel to the IG, DHHS

- Background on CIAs and why they include an IRO and overview of the various types of IRO reviews, including newer updates
- How data analytics and risk assessments factor in and keys to a successful IRO engagement
- Lessons learned and takeaways beyond the CIA

## H3J Telehealth: Where Do We Go from Here? Insights from a Panel of Strategy, Operations and Compliance Leaders

*Subject Area: Telehealth*  
*Level: Basic*

MIKE DWYER, EVP, Strategy & Community Health, PeaceHealth

ANITA YING, Associate Professor Endocrine Neoplasia, The University of Texas MD Anderson Cancer Center

KAY KAY CHAN, Director, PricewaterhouseCoopers

- Hear from strategy, clinical operations and compliance leaders about their telehealth programs
- Understand pain points and lessons learned during this period of accelerated telehealth growth
- Discuss where the focus will be moving for future opportunities and planning

1:10 – 2:10 PM CDT

## Mid-Conference Break

2:10 – 3:10 PM CDT

## BREAKOUT SESSIONS

### H4A Privacy Officer Roundtable: Part 2

*Subject Area: Privacy and Security*  
*Level: Intermediate*

JOAN M. PODLESKI, Chief Privacy Officer, Children’s Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

MARTI ARVIN, Executive Advisor, CynergisTek, Inc.

- Highly interactive discussion of challenging privacy issues facing privacy officers, counsel, and staff
- Audience-selected topics for discussion with the session facilitators and colleagues
- Sharing of practical solutions from experts and peers for the tough day-to-day privacy struggles

## H4B Three Ways to Enhance Your Physician Compensation Review Process

*Subject Area: Physician Compliance*

*Level: Advanced*

ADAM KLEIN, Principal, ECG Management Consultants

HEATHER L. FIELDS, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.

ANDREA EKLUND, Chief Compliance Officer, UnityPoint Health

- Learn where best-in-class policies fail to mitigate risk
- Identify ways to increase flexibility and reduce stakeholder frustration
- Implementing strategies for assessing aggregate risk as opposed to a case-by-case evaluation

## H4C Lab Testing During the COVID Pandemic

*Subject Area: Compliance Law*

*Level: Intermediate*

KATHY NUCIFORA, Director of Accreditation, COLA

ROBERT E. MAZER, Senior Counsel, Baker Donelson

- Understand requirements related to SARS CoV-2 Results Reporting, avoid related CMPs
- Learn about changes in enforcement of CLIA authorities, blanket waivers of Stark Law liability
- Avoid adverse financial/legal consequences related to payment claims for COVID-19 testing

## H4D From Compliance Support to Compliance Officer: Making it to the Top of the Compliance Profession

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

JIM PASSEY, Vice President, Chief Audit & Compliance Officer, HonorHealth

- Outline your career path to becoming a Compliance Officer
- Apply strategies you can adopt immediately to begin your journey and set you up for success
- Learn how to mentor up-and-coming compliance professionals to become a compliance officer

## H4E OIG Mandated Six-Year Look Back Audits

*Subject Area: Auditing and Monitoring*

*Level: Intermediate*

CHAD N. ECKHARDT, Partner and Chair - Healthcare Innovation Team, Frost Brown Todd LLC

JAMES ROSE, Managing Director, SunHawk Consulting, LLC

- Use of statistical sampling and extrapolation
- Recent updates and perspectives
- Drivers of efficient and diligent analysis

## H4F COPS & OPS: Leveraging a Matrix Leadership Mindset to Achieve Compliant Operations in Hospice and Home Health

*Subject Area: Post-Acute Care*

*Level: Advanced*

ADAM REED, Vice President Operations, Covenant Care

KARA BENEDICT, AVP, Governance Risk & Compliance

- Understand matrix management philosophy and how it differs from traditional organizational hierarchy
- Describe the unique compliance challenges of the hospice and home health industry
- Identify three opportunities to use this philosophy to achieve compliance in post-acute organizations

## H4G Compliance Session TBA

*Subject Area: Risk Management*

## H4H The Evolution of FDR and Delegation Oversight: What are Health Plans Expecting of Their Providers?

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

JENNIFER VILLAGE, Director, PricewaterhouseCoopers

- Discuss plans expectations of providers; understand the role in identifying areas of noncompliance
- Discuss CMS Program Audit trends and areas of increased scrutiny impacting access and quality to care
- Discuss strategies to performing ongoing monitoring of compliance as a delegated medical group

## H4I Evaluating and Executing Physician Practice Acquisitions in Today's Market

*Subject Area: General Compliance/Hot Topics*

*Level: Advanced*

LARA A. FLATAU, Member, Bass, Berry & Sims, PLC

BRANDT JEWELL, Senior Vice President, Coker Group

- Review industry trends as well as our client-based perspectives on physician practice acquisitions
- Outline structural options and models for practice acquisition and affiliation; address viable alternatives to acquisition or sale and describe strategic considerations and implications for hospitals/health systems and provider groups
- Discuss common pitfalls and recommendations for evaluating and executing practice acquisitions, and address key areas of due diligence from a legal, financial, and operational perspective

## H4J Compliance Session TBA

*Subject Area: Behavioral Health*

3:10 – 3:30 PM CDT

### Coffee Break

3:30 – 3:35 PM CDT

### Opening Remarks

3:35 – 4:35 PM CDT

## GENERAL COMPLIANCE SESSION 5: TBA

4:35 PM CDT

### Closing Remarks

# Compliance Institute

April 19–22, 2021 • VIRTUAL CONFERENCE

## Contact Information

☐ Mr ☐ Mrs ☐ Ms ☐ Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

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## Payment

Online registration at [hcca-info.org/2021CI](https://hcca-info.org/2021CI)

Mail form to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax form to 952.988.0146

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# Details

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**Compliance Certification Board (CCB):** CCB has approved a maximum of 26.4 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance-Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional-Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional-International (CCEP-I)<sup>®</sup>.

### Daily Breakdown:

Monday: 5 HR | 6.0 CCB

Tuesday: 6 HR | 7.2 CCB

Wednesday: 6 HR | 7.2 CCB

Thursday: 5 HR | 6.0 CCB

*Hours are subject to change.*

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